

Internal Asset Transfer - GIA individual/joint

We're currently rebranding from Novia to Wealthtime. You'll notice that some of our products and services still use the name Novia while we're transitioning over to the new name. So don't worry if you see both Wealthtime and Novia names in our documents. Wealthtime is a trading name of Novia Financial plc.

This application can be used to internally transfer investments between existing joint or individual GIA wrappers only. Please ensure that all names of joint holdings are detailed.

We will accept the receipt of this form without a signature, provided it is submitted through the Adviser Zone secure email service. If you choose to sign the completed form please arrange for the original to be returned to us.

If you need assistance completing this form please contact the client services team on 0345 680 8000.

Investor Details

Internal Asset Transfer from

Investor number: Wrapper number:

Investor name(s)

Forename(s): Forename(s):

Last name: Last name:

Please include here any notes regarding this internal asset transfer (please do not use a cover letter for key information).

Internal Asset Transfer to

Investor number: Wrapper number:

Investor name(s)

Forename(s): Forename(s):

Last name: Last name:

Please include here any notes regarding this internal asset transfer (please do not use a cover letter for key information).

Internal Asset Transfer Instructions

Please list the full name of the assets to be internally transferred.

ISIN/SEDOL	Name of Investment	Number of units
	Cash	£

Adviser Declaration

(only applicable where the Investor wishes to authorise by signature)

We will accept the receipt of this form without an investor signature, provided it is submitted through the Adviser Zone secure email service. Alternatively, you may ask the Investor to sign the completed form and arrange for the original to be returned to us. By submitting this form you are confirming the following:

- I declare that the information in this form is true and correct to the best of my knowledge.
- I agree to indemnify Wealthtime (a trading name of Novia Financial plc) against all such claims or losses, including additional tax charges and fines, in the event that the information provided proves to be incorrect.
- I understand that checks may be performed on this instruction to confirm details are correct.
- I confirm that I have the Investor's authority to submit this instruction on their behalf.

Name:

Adviser Signature:

Position:

Firm name:

Date (dd/mm/yyyy):

Firm FCA name:

If you require this document in an alternative format please contact us.

Wealthtime is a trading name of Novia Financial plc. Novia Financial plc is a private limited company registered in England and Wales. No. 06467886. Registered office: Cambridge House, Henry St, Bath, BA1 1JS. Novia Financial plc is authorised and regulated by the Financial Conduct Authority. FCA Number 481600.